PATENT COOPERATION TREATY

| From the INTERNATIONAL SEARCHING AUTHORITY | PCT |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| To: ROCHE DIAGNOSTICS GMBH Attn. Hillebrandt, Heiko D-82377 Penzberg | NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION |
| Roche Diagnostics GmbH Patent Department (TR-E) Penzberg BK BUR 1 9. OKT. 2004 WJ | (PCT Rule 44.1) Date of mailing (day/month/year) |
| Applicant's or agent's file reference | 13/10/2004 FOR FURTHER ACTION See paragraphs 1 and 4 below |
| International application No. PCT/EP2004/003457 | International filing date (day/month/year) 01/04/2004 |
| Applicant ROCHE DIAGNOSTICS GMBH | · · |
| | |
| When? The time limit for filing such amendments is norr | nally 2 months from the date of transmittal of the details, see the notes on the accompanying sheet. chemin des Colombettes scimile No.: (41–22) 740.14.35 |
| The applicant is hereby notified that no international search Article 17(2)(a) to that effect and the written opinion of the Ir With regard to the protest against payment of (an) addition | report will be established and that the declaration under nternational Searching Authority are transmitted herewith. |
| the protest together with the decision thereon has been | n transmitted to the International Bureau together with the test and the decision thereon to the designated Offices. |
| 4. Reminders Shortly after the expiration of 18 months from the priority date, the International Bureau. If the applicant wishes to avoid or postpone application, or of the priority claim, must reach the International B before the completion of the technical preparations for internation. The applicant may submit comments on an informal basis on the | publication, a notice of withdrawal of the International ureau as provided in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, nal publication. written opinion of the International Searching Authority to the |
| International Bureau. The International Bureau will send a copy of international preliminary examination report has been or is to be the public but not before the expiration of 30 months from the price. | such comments to all designated Offices unless an established. These comments would also be made available to orthy date. |
| Within 19 months from the priority date, but only in respect of so examination must be filed if the applicant wishes to postpone the date (in some Offices even later); otherwise, the applicant must, acts for entry into the national phase before those designated Offices. | entry into the national phase until 30 months from the priority within 20 months from the priority date, perform the prescribed ices. |
| In respect of other designated Offices, the time limit of 30 month months. See the Annex to Form PCT/IB/301 and, for details about the app Guide, Volume II, National Chapters and the WIPO Internet site. | olicable time limits, Office by Office, see the PCT Applicant's |
| Name and mailing address of the International Searching Authority European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | Authorized officer Catriona Cleere |

(See notes on accompanying sheet)

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international polication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been its filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 1994)

NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- (Where originally there were 15 claims and after amendment of all claims there are 11):
 "Claims 1 to 15 replaced by amended claims 1 to 11."
- (Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims):
 Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added. or
 Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged.
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guida

PATENT COOPERATION TREATY

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| Patent Department (TR-E) | | | | | |
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| Zeichen: | Datum: | | | | |
| 90E | 1200 1000 1000 | | | | |
| Ir Z | ntNr. eichen: | | | | |

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INTERNATIONAL SEARCH REPORT

| Roche Diagnostics GmbH Patent Department (TR-E) Penzberg | | | | | | |
|-------------------------------------------------------------|-----|------|------|----|--|--|
| вк | 1 0 | OKT. | 2001 | WИ | | |
| BUR | WJ | | | | | |
| HA | HIL | JB | МІ | SR | | |

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference | FOR FURTHER ACTION | see Form PCT/ISA/220 as well as, where applicable, item 5 below. |
|-------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
| International application No. | International filing date (day/month | |
| - PCT/EP2004/003457 | 01/04/2004 | 04/04/2003 |
| Applicant | | |
| ROCHE DIAGNOSTICS GMBH | | |
| This International Search Report has been according to Article 18. A copy is being tra | n prepared by this International Sear ansmitted to the International Bureau | rching Authority and is transmitted to the applicant J. |
| This International Search Report consists It is also accompanied by | of a total of she a copy of each prior art document c | |
| Basis of the report a. With regard to the language, the language in which it was filed, unl | international search was carried out less otherwise indicated under this it | on the basis of the international application in the em. |
| The international this Authority (Ru | | of a translation of the international application furnished to |
| b. X With regard to any nucleo | otide and/or amino acid sequence | e disclosed in the international application, see Box No. I. |
| | nd unsearchable (See Box II). | |
| 3. Unity of invention is lac | king (see Box III). | |
| 4. With regard to the title , X the text is approved as su | ibmitted by the applicant | |
| 1 | shed by this Authority to read as follo | ows: |
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| | | |
| 5. With regard to the abstract, | | |
| X the text is approved as su | | |
| the text has been establis may, within one month fro | shed, according to Rule 38.2(b), by to the date of mailing of this interna | this Authority as it appears in Box No. IV. The applicant tional search report, submit comments to this Authority. |
| 6. With regards to the drawings, | | |
| a. the figure of the drawings to be p | | No. <u>4</u> |
| X as suggested by | the applicant. is Authority, because the applicant f | ailed to suggest a figure. |
| | is Authority, because this figure bett | |
| | pe published with the abstract. | · |

International application No.

PCT/EP2004/003457

| Box | x No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.b of the first sheet) | |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|
| 1. | With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, the international search was carried out on the basis of: | |
| | a. type of material X a sequence listing table(s) related to the sequence listing | |
| | b. format of material X in written format X in computer readable form | |
| | c. time of filing/furnishing X contained in the international application as filed X filed together with the international application in computer readable form furnished subsequently to this Authority for the purpose of search | |
| 2. | In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been file or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. | ed |
| 3. | Additional comments: | |
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International Application No PCT/EP2004/003457

| IPC 7 | C12Q1/68 | | |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| According to | o International Patent Classification (IPC) or to both national classifi | ication and IPC | |
| | SEARCHED | | |
| IPC 7 | ocumentation searched (classification system followed by classification ${\tt C12Q}$ | | |
| | tion searched other than minimum documentation to the extent that | | |
| | lata base consulted during the International search (name of data ternal, CHEM ABS Data, EMBASE, WPI | • | |
| C. DOCUMI | ENTS CONSIDERED TO BE RELEVANT | | |
| Category ° | Citation of document, with indication, where appropriate, of the r | elevant passages | Relevant to claim No. |
| X Y | US 6 150 107 A (MATHIES RICHARD 21 November 2000 (2000-11-21) | A ET AL) | 1-9,13, 6- p 14 10=12, 15-17 |
| | abstract column 2, line 1 - column 2, line examples 1-5 claims 1-28 figures 1-8 | ne 28 | |
| X Furt | ther documents are listed in the continuation of box C. | Patent family members are listed | in annex. |
| | ategories of cited documents: | *T* later document published after the into | ernational filing date |
| consid | ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date | or priority date and not in conflict with cited to understand the principle or th invention "X" document of particular relevance; the cannot be considered novel or cannot. | eory underlying the claimed invention It be considered to |
| which citatio "O" docum other | ent which may throw doubts on priority claim(s) or a fis cited to establish the publication date of another on or other special reason (as specified) nent referring to an oral disclosure, use, exhibition or means the prior to the international filing date but | involve an inventive step when the do "Y" document of particular relevance; the cannot be considered to involve an ir document is combined with one or m ments, such combination being obvic in the art. | ocument is taken alone claimed invention eventive step when the ore other such docu- |
| later t | than the priority date claimed | *&* document member of the same patent | |
| | e actual completion of the international search | Date of mailing of the international second | arch report |
| | 30 September 2004 | | |
| Name and | mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | Authorized officer Madlener, M | |

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International Application No
PCT/EP2004/003457

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|
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| | abstract column 2, line 11 - column 2, line 34 column 3, line 46 - column 4, line 16 column 5, line 32 - column 5, line 45 column 6, line 13 - column 6, line 22 column 7, line 18 - column 7, line 21 example 1 claims 1-45 | |
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| C.(Continu Category ° | | Relevant to claim No. 1-17 | | | | |
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